# User-Centric Design of a Vision System for Interactive Applications

Stanislaw Borkowski, Julien Letessier and James L. Crowley

INRIA Rhône-alpes - GRAVIR Laboratory - PRIMA Group 655 avenue de l'Europe - Montbonnot - 38334 Saint Ismier Cedex - France stanislaw.brokowski@inrialpes.fr — julien.letessier@inrialpes.fr

Abstract. Despite great promise of vision-based user interfaces, commercial employment of such systems remains marginal. Most vision-based interactive systems are one-time, "proof of concept" prototypes that demonstrate the interest of a particular image treatment applied to interaction. In general, vision systems require tedious parameter tuning, both during setup and later on-line, to accommodate for changing conditions, thus are difficult to handle by non-experts in computer vision. We present a pragmatic, developer-centric, service-oriented framework for vision-based interactive systems. Our approach aims to allow developers unfamiliar with vision to use it as interaction modality. To achieve this goal, we address specific developer- and interaction-centric requirements during the design of our system. An implementation of standard GUI widgets (buttons and sliders) based on computer vision validates our approach.

## 1 Introduction

It is well established that computer vision is a rich source of information that can be used for user input in interactive systems. Numerous examples from the literature illustrate the diversity of application domains and interaction styles created using vision as input modality [9,5,10,13,2,11,6]. Yet, there are surprisingly few examples of commercially available vision-based interactive systems.

Human-computer interface (HCI) designers and developers are used to hard-ware-based input devices that provide data "out of the box" without any off-line or on-line setup. In contrast, vision-based input systems can only be integrated by HCI developers with great difficulty: they require vision expertise for setup, as well as execution conditions incompatible with typical HCI requirements. Indeed, most current computer vision systems do not *just work*.

We believe that to popularize vision-based interfaces, computer vision systems must be made more developer-friendly and user-friendly. To accomplish this, it is necessary that vision system developers adopt a different perspective. In this paper, we present an approach to vision system design that aims at minimizing the difficulties related to the deployment of vision-based interactive systems by: (a) encapsulating vision components in isolated services, (b)

imposing these services to meet specific usability requirements, and (c) limiting communications between the services and the interactive applications to a minimum. We describe our approach in section 3.

In section 4, we design a system for vision-based interactive widgets for augmented surfaces, and propose a breakdown into atomic services. In this context, we identify the necessary information exchange between services and with the application. Meeting the requirements detailed in section 3 influences the choices of the image processing algorithms used; in particular, they require little or no setup and tuning and feature usability-grade latency. Our implementation is robust to light changes and is moderate in CPU usage.

Finally, in section 5 we detail an implementation of a simple vision-based calculator built using the described vision system.

## 2 Related work

Much work has been done in vision-based gesture recognition and novel interaction styles research, but the problem of integrating vision to standard development gained some interest only recently.

In [7] Kjeldsen et al. presents an architecture for dynamically reconfigurable vision-based user interfaces (VB-UIs). They propose to separate the application from the vision engine. The core application controls the vision engine by sending XML messages specifying the current interface configuration. The interface is composed of interaction widgets defined at the level of functional core by their function and position in the interface. As users actuate widgets, the image processing engine sends messages that correspond to interaction events to the application's functional core.

The modular decomposition of vision components, as well as the separation of image treatment from the functional core of applications are important steps toward "developer-usable" VB-UI components. However, in the presented approach the VB-UI requires its developer to define sets of parameters and calibration data for the vision treatment engine for each interface configuration and location. Setting parameters for particular conditions allows fine tuning of the vision system. On the other hand, it requires the user of the vision system to understand the underlying image processing algorithms, which is contradictory with the idea of VB-UI deployment by developers unfamiliar with vision.

In [12] Ye et al. present a framework for vision-based interactive components design. Both Kjeldsen et al. and Ye propose to analyze camera input only in regions of interest around interactive "zones" of the interface, thus making the vision engine more economic in CPU usage. However Ye et al. go a step further, and instead of applying one vision algorithm per widget type, they propose to use a set of different detection techniques to obtain visual events called Visual Interface Cues (VIC). Each VIC detector called also *selector* examines a small part of the camera image for visual events that can be of different nature, like color, texture, motion or object geometry. Selectors are structured into hierarchies, and each selector can trigger one or more other selectors. Interaction events are

detected based on sequences of selectors output. For instance, a touch sensitive button uses only motion detection at first. Once motion is detected, a VIC-based button switches to color-based image segmentation and to segmentation-based gesture recognition.

While the VIC paradigm presented in [12] is appealing for widget-oriented interface design, it lacks the analysis of VIC selectors suitability for interactive systems. Indeed, the VICs framework is presented from an vision-expert perspective. It does not consider setup and maintenance issues related to each selector such as threshold setting or lighting requirements. In section 4 we present a VIC-based implementation of basic interactive widgets that was conceived to respect the requirements identified in section 3.

Finally, the Papier-Mâché [8] toolkit features a framework for the creation of multimodal interactive applications, in particular using computer vision. The toolkit design is based on a detailed user study, and offers and abstract, event-based model to work with multiple modalities. The vision part allows object recognition and tracking to be used as application input. While the design seems to be well-thought from the HCI perspective, it has several architectural short-comings; in particular typical problems linked to object recognition (such as the aspect variations due to lighting changes) are not dealt with, and several thresholds must be set manually, on-line, by the end user.

# 3 Service-oriented design

Vision-based user interfaces rely on a vision process that extracts high-level, more abstract information from streams of images. This information is what is relevant for the interaction task. Except of "proof of concept" demonstrations created by computer vision researchers, it will be used by interactive application developers who have little to no expertise in the field. This statement implies that a vision system which aims to be used outside the laboratory must be designed from a user-centric point of view. In this section, we present how user-centric requirements influence both the structure of a VB system and its application programming interface (API).

## 3.1 Non-functional requirements

Typical user-centric criteria against which an interactive system is evaluated include overall latency, reliability, autonomy [9]. Here, we shortly describe these criteria and how they constrain the architecture of vision systems.

Latency. Interactive systems always place a constraint on latency. For user input systems it is measured as the time between the user action and the notification of the application. Typically, when using a vision-based (VB) finger tracker or a mouse to drag projected objects on a surface, the latency must be under 50 ms to optimize usability. On the other hand, for a system that monitors the number of persons present in a room, a latency of 1 second will be acceptable.

In consequence, a general architecture for vision services must be able to vehicle information to the application within the strictest latency limits.

Autonomy. Vision-based systems usually require operator intervention for initial setup and maintenance. While acceptable in a lab setting, this is poorly suited for deployment. Though it is difficult to enforce for vision systems, we propose to prevent vision system developers from offering a maintenance API, thus making them devise automatic maintenance solutions.

Reliability. In some cases, information needs to be reliably conveyed between the input system and the application. For instance, an application that receives events when a person enters or exits an augmented room cannot afford to "miss" an event since its state would become incoherent. On the other hand, it is acceptable to lose some events from a tracking system, as the interaction will deteriorate, but not break. Conversely, our architecture will need to feature a reliable transport for interactive events.

## 3.2 Functional requirements

We propose a number of (developer-centric) requirements our system must meet in order to successfully address its target audience, these are: abstraction, isolation, and contract. Together they form the notion of a service.

Abstraction. To HCI developers, "abstracting the input [is] the most time consuming and challenging piece of application development" [8]. Since we assume the user of our vision system (the application developer) has no vision expertise, vision-specific information should not be made visible. Even though coupling processing results with a confidence factor might be richer, this information cannot be processed by non-experts, and thus is irrelevant. For instance, optical mice use normalized cross-correlation to determine the direction and speed of the movement. As long as the correlation coefficient is above a threshold they send positional data to the computer, otherwise they remain silent. The correlation coefficient is not sent to the system.

Besides, UI developers need to be walled off how the user input reaches the application. They focus on the interface and interaction, and they are agnostic about the input system; to them there should be no difference if the user realizes a pointing task by manipulating a mouse, moving their fingertips, or waving a laser pointer.

Consequently, the API of a VB input system for interaction should (a) render the vision aspects invisible, and (b) generalize the input used for a given task.

Isolation. Input subsystems like a VB-UI may need to be used by multiple applications, possibly running on different machines – for performance or geographic reasons. Since "a particular piece of input can be used for many different types of output" [8], information generated by a vision system must be shareable, and both remotely and locally accessible. Moreover, any input system for interaction

should be easily extensible (for instance using output adapters, aggregators, or supervisor patterns) and embeddable in other services or in applications. Designing a VB input system as a federation of "black box" components therefore appears to be adequate.

Contract. Developers of toolkits or input devices usually establish a contract with the UI developer, in terms of HCI-centric, non-functional criteria. For instance, for positional or tracking input (mice, trackpads, laser trackers, etc.), the relevant criteria are latency, precision, robustness, and autonomy.

- latency is implicitly under a usability threshold for standard tracking input devices (the textbook value is around 50 ms);
- precision is also implicitly defined for a mouse/trackpad (under 1 display pixel, scaled with respect to the device gain)
- robustness is generally "absolute". In other words the device or system either
  works or doesn't. For instance an optical mouse "just works" as long as used
  on an adequate surface, and stops emitting positional events as soon as the
  contact stops.
- autonomy, or the lack of setup and maintenance, is also tacit for traditional devices.

In the case of VB input systems, these criteria are difficult to meet. Therefore it is the role of the system designer to explicitly state in contract form the limitations with respect to these criteria. For instance, the system should notify the end-user application about robustness-related failures rather than provide incorrect or distorted information along with a (low) confidence factor. This corresponds to a binary quality of service evaluation and requires the system to perform introspection.

## 3.3 A pragmatic approach

Our approach is to generally isolate as much as possible the VB input system from the application that uses it, and minimize the communications between them. We propose to encapsulate the relevant services into independent, blackbox processes that use only serialized communications.

To allow for low latency while preserving reliability, we propose to use traditional socket-based (TCP and UDP) communications. We use the TCP link to guarantee the connection between services or with the application, and to allow for reliable, high-latency communications, and the UDP link for low-latency communications. This constitutes the base of the "BIP/1.0" protocol we use for communications between services (draft specification at shadoo.free.fr/icvs06/bip-draft.pdf).

System autonomy cannot be enforced at the architectural level. Nevertheless, not providing support for synchronous communications (thus making it difficult to implement transactions between the application and a service) limits the possibility of implementing setup mechanisms where automatic setup can be devised.

Finally, since the *contract* offered by a VB input system cannot be expressed simply as a part of an API, we propose to document it explicitly. This means that the developer of the system must evaluate it against the criteria presented above in order to circumscribe conditions of use for the vision system.

# 4 Basic services for vision-based UI design

To illustrate our service-oriented approach with an implementation, we choose to study vision systems applied to traditional interfaces. Typically, we investigate the use of graphical WIMP-like interfaces projected on surfaces of mundane objects. We assume that users are free to interact with projected images without wearing markers or actuating any hardware.

We break down our VB input system into three services: the widget service, which the client will interoperate with; the image capture service, which abstracts the camera, allowing it to be used by other systems eventually; and the calibration service, which establishes the geometrical mapping between the camera view and the display.

#### 4.1 Simple Pattern Occlusion Detectors

In [3] we presented an appearance-based implementation of touch sensitive projected buttons which we called "Sensitive widgets". The presence of an object over a button on the interaction surface was detected by observing the change of perceived luminance over the button center area with respect to a reference area. By defining the reference area around the central-one, the button is made robust to complete occlusion, and sensitive to appearance changes made by oblong objects. The very simple image treatment allows to run several dozens of sensitive widgets at camera frame rate (PAL-size images at 25 Hz). Moreover, it is robust to lighting changes, thus suitable for front-projection setups.

Implementation and evaluation of several interface prototypes based on sensitive widgets demonstrated that, from the user's perspective, robustness to partial occlusions is also necessary. Indeed, a user pointing at a part of the interface would likely hover her/his arm over a part of a button, thus triggering it. A partial, unsatisfactory solution was obtained by deactivating partly occluded widgets based on the input from widgets placed further away from the user. The idea of combining inputs from several sensitive widgets led us to re-think the touch detection approach.

We choose to assemble atomic occlusion detectors, which are to be placed within and around widgets, in a way allowing to distinguish some simple occlusion patterns. The geometry of the detectors (called "striplets") is simplified to a rectangular strip. These detector federation are used through the SPODs (Simple Pattern Occlusion Detectors) service. The SPOD service is internally divided into two separated layers: the image treatment layer called the Striplet Engine (SE), in charge of image processing, and the Vision Events Interpretation Layer (VEIL), in charge of input abstraction. Both of them were designed to meet the requirements described in section 3.

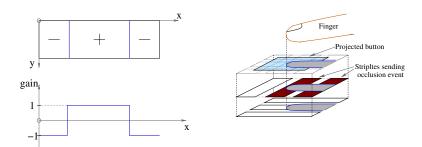
Striplets are defined as sensitive patches on the interface. Their response is calculated as the integral of the perceived luminance multiplied by a gain function over the surface of the striplet. The gain function has to be chosen so that the integral equals zero when the luminance over the whole striplet is constant. In current implementation the gain function is a symmetric step function with positive value over the central part of the striplet and negative value at both ends of the striplet (Figure 1a).

Striplets are designed to detect occlusion by oblong objects. Each striplet is 40 millimeters long and 10 millimeters wide on the projected interface. These dimensions are chosen to ensure a maximal response to occlusions made by finger-sized objects occluding the striplet's central area. Occlusions of any extremity of a striplet are intentionally ignored.

The camera coordinates of a striplet are calculated based on its position in the interface as given by the VEIL service and the camera-interface mapping. The event trigger threshold, on the other hand, is estimated individually for each striplet by the SE without any control from the client application. It is dynamically set to half of the maximal positive response of a striplet during interaction. The only assumption is that fingers contrast with the interface, which is true in most setups.

The VEIL is the "brain" of the SPOD service. It (a) translates widgets coordinates defined by the client application to a set of striplets coordinates, and (b) analyses the occlusion events generated by the SE and issues interaction events when appropriate.

Currently two types of interactive widgets are implemented: touch buttons and sliders. This allows to build simple WIMP-like user interfaces. The button widget is composed of six striplets assembled: two crossed in the center and four other surrounding the button center (Figure 1b). Touch events are issued only if occlusion is detected by at least one of the center striplets and no more than one surrounding striplets. Sliders are simply obtained by assembling multiple partially overlapping buttons.



**Fig. 1.** (a) Striplet gain function. (b) Button widget made of 6 striplets.

Since monocular vision systems cannot detect when a finger actually touches the interface, interaction events are generated only after detecting a short pause of the finger over a widget. The dwelling period, set to  $250\ ms$ , corresponds to the button-down event for mouse-based interaction. To move a slider, the user has to first "press" it and then drag it. This is coherent with existing WIMP interface behavior. In contrast, the dragging task requires 2D movement coordination from the user. If user's finger exits the SPOD slider area, dragging is stopped.

By ensembling striplet detectors in more sophisticated way, it is also possible to develop different types of interactive widgets, for instance crossing-based menus [1]. An extreme would be to cover the whole interface surface with SPOD-button-like structures, thus making a SPOD-based finger tracker.

Service API. The SPOD service requires the client application to specify the position of each interactive widget in a normalized coordinate frame of the interface. Additionally, the SPOD service needs to know the mapping between the camera view and the interface, as well as a rough estimate of the number of pixels per unit length of the interface in the scene. Both the mapping and the scale, are provided by a calibration service (discussed below). The communication between SPOD service and calibration service is invisible to the client application. The SPOD service exclusively sends to the client application a stream of interaction events. All communication occurs via TCP/IP connections, using the BIP protocol described in section 3.3.

Inter-layer API. Both the SE and the VEIL are implemented as independent services, running in independent processes. Their communication also is asynchronous and event-driven, using BIP. The VEIL sends coordinates of all striplets to the SE, for an interface configuration together with the interface-camera mapping matrix. The SE layer sends state-change events that result from user interaction with the system.

Contract for the SPOD service. The initial SPOD service implementation can handle up to 300 striplets at camera frame rate (30 Hz) with images of 320x240 pixels size on a 2.8 GHz Pentium IV processor. In terms of widgets, this means the system can handle roughly 50 SPOD buttons simultaneously. Since actuating buttons is not close coupled interaction the latency is less of an issue. In fact, the VEIL makes the distinction between accidental occlusions and intentional actions based on a dwell time. On the other hand, the SE service is implemented to minimize latency.

Striplets only provide coarse resolution for finger positions. The resolution can be enhanced by averaging the position of several striplets detecting the same finger. Our implementation of a slider widget achieves a resolution of about 5 millimeters.

The SPOD service is made autonomous (i.e. exept for the UI-camera mapping and scale there are no parameters to set), at the expense of robustness to certain condition changes. In particular, the SE layer would fail to detect occlusion from a finger on a dark background, it would also fail if the image contrast decreases due to a change in camera setting.

While the SPOD service was designed to respect the developer-centric requirements, it does not fully meet user-centric requirements. In particular, the simplistic automatic threshold estimation results in occasional false positive touch detections. However, the SPOD service can be described within the Visual Interface Cues (VICs) framework [12], with local luminance changes as the only visual cue. Using an similar approach for spacio-temporal gesture recognition like in [12], we can hope to alleviate the need of setting an occlusion threshold. Instead the set of striplet responses would be fed to a neural network based VEIL.

## 4.2 Support Services

Calibration consists in the geometrical coupling of the camera view (what the vision system percieves) and the displayed interface (what the application developer controls). The calibration service clients needs to access the mapping information to transform vision information (e.g. positions in camera coordinates) into application-relevant data (e.g. positions in display coordinates). This is achieved by providing the associated projective transformation in matrix form. Since the calibration service needs information both from the camera and the application, two approaches are possible: (a) If it controls the graphical output, it can work without interaction with the application. This is the case in the PDS example [3], where the interactive surface itself is tracked by the service. (b) In the general case, it must negotiate with the client application the display of a calibration grid [9].

*Image acquisition* service creates an abstraction of the camera. It allows concurrent access to the camera by multiple services. In our case, both the calibration service and the SE require access to the image stream. Low latency video sharing is implemented using shared memory buffers.

# 5 Application

Using the widget implementation described above, we have implemented a simple calculator application. The calculator interface can be projected and manipulated directly with fingers on the top of a desk. It allows to perform basic calculations like addition, subtraction, division and multiplication. Numbers can be either typed on the calculator keyboard or chosen from the history buffer containing results of previous operations. The history buffer can be browsed using a slider on the left side of the calculator.

An informal evaluation of the calculator application, made by volunteers from our laboratory, showed that SPOD-widgets are easy to use and allow fast interface prototyping. A video showing the application working is available on <a href="http://www-prima.inrialpes.fr/prima/pub/Publications/2005/BL05/demo-spods.avi">http://www-prima.inrialpes.fr/prima/pub/Publications/2005/BL05/demo-spods.avi</a>

## 6 Conclusions

This paper presents a developer oriented design approach for vision-based interactive systems. Inspired by [7], we decompose the vision-based applications to isolated processes of vision components and functional core of the application. The implementation of the vision-components draws on the VICs framework presented by Ye et. al in [12]. We believe that extending these two design approaches by an HCI-centric requirements analysis allows to build vision systems that can be used for interactive systems designed by developers unfamiliar with vision. Following the guidelines of the developer-centric requirements analysis we implemented vision-based interactive widgets - buttons and sliders. We illustrate the feasibility of our approach with an implementation of a simple calculator for projection-augmented surfaces.

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